

# SHANKS & HERBERT

Intellectual Property Advocates

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April 16, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

VIA HAND CARRY

Re: U.S. Patent Application  
Appl. No.: 09/513,997  
Filed: February 25, 2000  
For: **COMPOSITIONS AND METHODS FOR NON-TARGETED  
ACTIVATION OF ENDOGENOUS GENES**  
Inventors: Harrington et al.  
Attorney Docket No.: 0221-0003Q

Sir:

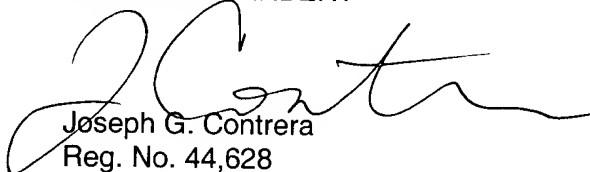
The following documents are forwarded herewith for appropriate action by the  
U.S. Patent and Trademark Office:

1. Preliminary Remarks Under 37 C.F.R. § 1.111;
2. Copy of date-stamped return postcard filed June 14, 2001;
3. Copy of date-stamped return postcard filed September 19, 2001;
4. Exhibits A-F;
5. Copy of United States Patent No. 5,733,746;
6. Copy of French Patent No. FR 2 707 091;
7. Copy of Australian Patent No. AU-B-71893/94; and
8. One (1) Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of  
filing of these documents, and that it be returned to our courier.

Respectfully submitted,

SHANKS & HERBERT

  
Joseph G. Contrera  
Reg. No. 44,628

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West Coast Office

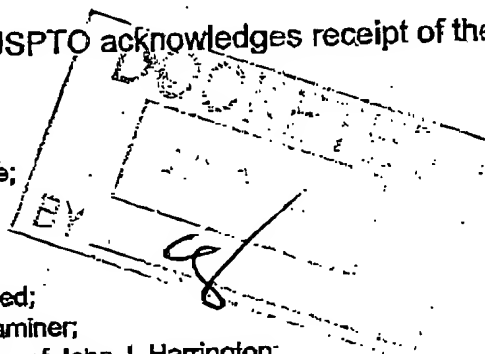
4350 La Jolla Village Drive, Suite 330, San Diego, Ca 92122  
Telephone: (858) 597-6688 Facsimile: (858) 597-6682

Applicant: John J. Harrington, et al.  
App. Serial No: 09/515,997  
Filed: February 26, 2000  
Title: Compositions and Methods for Non-Targeted Activation of Endogenous Genes

Docket No.: 0221-0003Q  
By: Joseph G. Contrera

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following:

1. Transmittal Letter;
2. Petition for One Month Extension of Time;
3. Amendment and Remarks;
4. Declaration of John J. Harrington;
5. Curriculum Vitae of John J. Harrington;
6. Marked-Up Version of Claims as Amended;
7. Copies of References Requested by Examiner;
8. Copies of References Cited in Declaration of John J. Harrington;
9. Check in the amount of \$110.00; and
10. One (1) Postcard



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TECH CENTER 1600/2900

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When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following:

1. Transmittal Letter
2. Previously filed Information Disclosure Statement
3. Copies of references AC2, AN2 and AO2
4. Previously filed PTO Form 1449
5. Postcard



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